

## FORMALITIES LETTER



\*OC000000005338191\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/617,380	07/17/2000	DAVID J. OTWAY	CTX-052-(154

21323  
TESTA HURWITZ & THIBEAULT  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110



Date Mailed: 08/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice MUST be returned with the reply.*

*Hopkins DTY*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/18/2000 CCHAU1 00000076 09617380  
01 FC:105 130.00 OP



PATENT  
Attorney Docket No. CTX-052  
(1545/90)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Otway et al.  
SERIAL NO.: 09/617,380 GROUP NO.: 2766  
FILING DATE: July 17, 2000 EXAMINER: Not Yet Assigned  
TITLE: Strong Mutual Authentication Of Devices

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on this 13 day of October, 2000.

  
Devora L. Gavel

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal (1 page);
- (3) Check in the amount of \$130.00;
- (4) Executed Declaration and Power of Attorney (3 pages);
- (5) Response to Notice to File Missing Parts (1 page);
- (6) Copy of Notice to File Missing Parts of Application (PTO-1553) (1 page); and
- (7) a mailroom postcard.

RODRIGUEZ1545/90.A1082789-1



# TRANSMITTAL FORM

Application Serial Number	09/617,380
Filing Date	July 17, 2000
First Named Inventor	Otway et al.
Group Art Unit	2766
Examiner Name	Not Yet Assigned.
Attorney Docket No.	CTX-052 (1545/90)

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Declaration and Power of Attorney	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert to a Provisional Application <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Executed Small Entity Statement <input type="checkbox"/> Request for Refund <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> )
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,  
Date: October 13, 2000  
Reg. No.: 41,274  
Tel. No.: (617) 248-7501  
Fax No.: (617) 248-7100

Michael A. Rodriguez  
Agent for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

# FEE TRANSMITTAL

## FY 2001



Complete if Known

Application Serial Number 09/617,380  
 Filing Date July 17, 2000  
 First Named Inventor Otway  
 Group Art Unit 2766  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. CTX-052 (1545/90)

### METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	130.00
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify) \_\_\_\_\_  
 Other fee (Specify) \_\_\_\_\_

SUBTOTAL (3) (\$ 130.00)

### FEE CALCULATION

#### 1. FILING FEE

Large Entity Fee (\$)	Fee Description	Fee Paid
710	Utility filing fee	
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	- 20 =		x \$ 18.00 =	
Independent Claims	- 3 =		x \$ 80.00 =	

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL:  
 SMALL ENTITY DISCOUNT:  
 SUBTOTAL (1) (\$)

#### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 80.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$270.00 =	

TOTAL: (\$)  
 SMALL ENTITY DISCOUNT: (\$)  
 SUBTOTAL (2) (\$)

SUBTOTAL (1)  
 SUBTOTAL (2)  
 SUBTOTAL (3) 130.00

TOTAL (\$ 130.00)

### CORRESPONDENCE ADDRESS

Direct all correspondence to:  
 Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

### SIGNATURE BLOCK

Date: October 10, 2000  
 Reg. No.: 41,274  
 Tel. No.: (617) 248-7501  
 Fax No.: (617) 248-7100

Respectfully submitted,  
  
 Michael A. Rodriguez  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street  
 Boston, MA 02110



PATENT  
Attorney Docket No. CTX-052  
(1545/90)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Otway et al.  
SERIAL NO.: 09/617,380 GROUP NO.: 2766  
FILING DATE: July 17, 2000 EXAMINER: Not Yet Assigned  
TITLE: Strong Mutual Authentication Of Devices

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

In response to the Notice to File Missing Parts mailed August 21, 2000, enclosed is an executed Declaration/Power of Attorney for filing in the above-referenced application. Also enclosed is a check in the amount of \$130.00 in payment of the filing fee and surcharge for a non-small entity.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: October 13, 2000  
Reg. No. 41,274

Tel. No. (617) 248-7501  
Fax: (617) 248-7100

Michael A. Rodriguez  
Michael A. Rodriguez  
Agent for Applicants  
Testa, Hurwitz, & Thibault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

RODRIGUEZ1545/90.A1082740-1